

GAC Summer School

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The minimal cellular resolution of the edge ideals of forests

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joint work with Margherita Barile (Università degli Studi di Bari)

1.1. Minimal free resolutions

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where $F_i = \bigoplus_j S(-j)^{\beta_{ij}(S/I)} \neq 0$ and $\text{Im}(\varphi_i) \subset (x_1, \dots, x_n)F_{i-1}$.

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- $\beta_{ij}(S/I) \rightarrow$ **graded Betti numbers** of S/I
- $\beta_i(S/I) = \sum_j \beta_{ij}(S/I) = \text{rank}(F_i) \rightarrow$ **total Betti numbers** of S/I
- $\text{pd}(S/I) = h \rightarrow$ **projective dimension** of S/I

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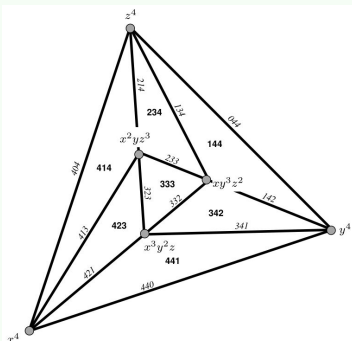
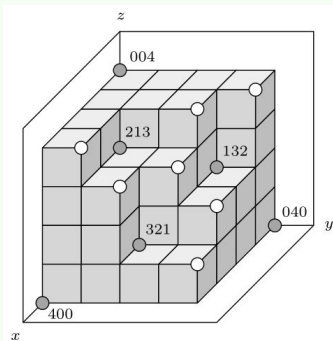
Problem

- Find classes of ideals whose minimal resolutions can be easily described.
- Describe non-minimal resolutions for large classes of monomial ideals.

Example Let $I = (x^4, y^4, z^4, x^3y^2z, xy^3z^2, x^2yz^3) \subset S = k[x, y, z]$.

We can draw a 3-dimensional staircase diagram to represent I .

Then we draw a graph in which we connect two minimal generators of I when they “look adjacent”. We label each edge and each triangular face according to the exponent vector of the lcm of its vertices.



The minimal free resolution of I can be read off this figure:

$$0 \longrightarrow S^7 \longrightarrow S^{12} \longrightarrow S^6 \longrightarrow I \longrightarrow 0,$$

where the exponents correspond to **6** vertices, **12** edges and **7** triangles.

1.2. Simplicial resolutions

Simplicial resolutions were introduced by [Bayer, Peeva, Sturmfels, 1998].

Let Δ be a simplicial complex whose vertices are labeled by the minimal generators of a monomial ideal $I \subset \mathbf{S}$. Each face F of Δ is labeled by the least common multiple of its vertices, denoted by $\mathbf{m}_F = \mathbf{x}^{\mathbf{a}_F}$.

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Let \mathcal{F}_Δ be the **reduced chain complex** of Δ over S defined by

$$\mathcal{F}_\Delta = \bigoplus_{F \in \Delta} S(-\mathbf{a}_F), \quad \varphi(F) = \sum_{G \text{ facet of } F} \text{sign}(G, F) \mathbf{x}^{\mathbf{a}_F - \mathbf{a}_G} G,$$

where F, G are thought of both as faces of Δ and as basis vectors in degrees \mathbf{a}_F and \mathbf{a}_G . Moreover, $\text{sign}(G, F)$ equals $+1$ if F 's orientation induces G 's orientation and -1 otherwise.

Proposition (BPS, 1998) *The complex \mathcal{F}_Δ is exact and defines a free resolution of I if and only if for every monomial \mathbf{m} , the complex*

$$\Delta_{\preceq \mathbf{m}} = \{F \in \Delta : \mathbf{m}_F \text{ divides } \mathbf{m}\}$$

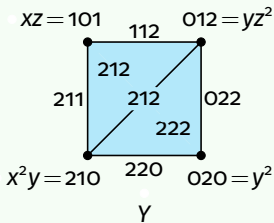
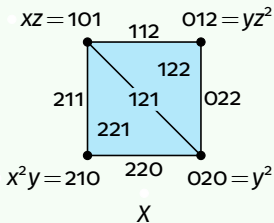
*is empty or acyclic over \mathbf{k} (i.e., it has zero reduced homology). In this case, we call \mathcal{F}_Δ a **simplicial resolution** of I supported by Δ .*

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Example Let $I = (x^2y, xz, yz^2, y^2) \subset S = k[x, y, z]$ and consider the simplicial complexes X and Y on the generators of I :



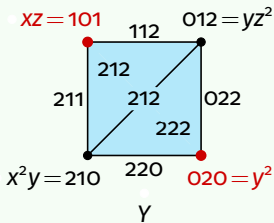
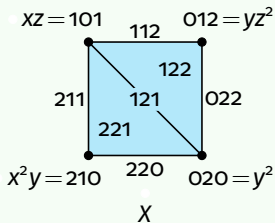
X supports the free resolution $\mathcal{F}_X : 0 \rightarrow S^2 \rightarrow S^5 \rightarrow S^4 \rightarrow I \rightarrow 0$.

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X supports the free resolution $\mathcal{F}_X : \mathfrak{o} \rightarrow S^2 \rightarrow S^5 \rightarrow S^4 \rightarrow I \rightarrow \mathfrak{o}$. Y does not support a free resolution of I : $Y_{\preceq xy^2z}$ consists of the two vertices xz and y^2 , hence it is not acyclic.

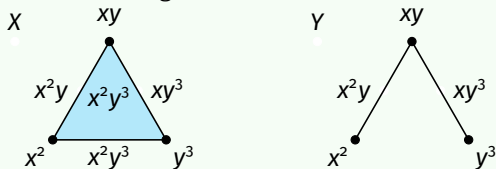
1.3. Minimality

Proposition (BPS, 1998) *Let \mathcal{F}_Δ be a free resolution of the monomial ideal I supported by the labeled simplicial complex Δ . Then \mathcal{F}_Δ is a minimal free resolution if and only if any two comparable faces $G \subset F$ of Δ have distinct degrees, i.e. $\mathbf{m}_G \neq \mathbf{m}_F$.*

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Example Let $I = (x^2, xy, y^3) \subset S = k[x, y]$ and consider the simplicial complexes X and Y on the generators of I :



Both X and Y support a free resolution of I :

$$\mathcal{F}_X : \mathbf{0} \rightarrow S \rightarrow S^3 \rightarrow S^3 \rightarrow I \rightarrow \mathbf{0} \quad \mathcal{F}_Y : \mathbf{0} \rightarrow S^2 \rightarrow S^3 \rightarrow I \rightarrow \mathbf{0}$$

\mathcal{F}_Y is minimal; \mathcal{F}_X is not minimal: the triangle and one of its edges have the same label x^2y^3 .

1.4. The Taylor resolution (1966)

If I is a monomial ideal with r minimal generators, let Δ be the full $(r-1)$ -dimensional simplex whose r vertices are labeled by the generators $\mathbf{m}_1, \dots, \mathbf{m}_r$.

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For any monomial \mathbf{m} , the subcomplex $\Delta_{\preceq \mathbf{m}}$ is a face of Δ , i.e., the full simplex on all monomials \mathbf{m}_i dividing \mathbf{m} .

In particular, $\Delta_{\preceq \mathbf{m}}$ is contractible, hence acyclic. Thus \mathcal{F}_Δ is a simplicial resolution of I called **Taylor resolution**.

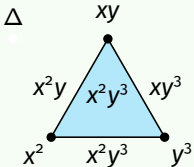
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Example Let $I = (x^2, xy, y^3) \subset S = k[x, y]$. The Taylor resolution of I is


$$\mathcal{F}_\Delta : 0 \rightarrow S \rightarrow S^3 \rightarrow S^3 \rightarrow I \rightarrow 0$$

The Taylor resolution is often not minimal.

1.5. Make a cellular resolution minimal

Using **Discrete Morse Theory**, one can hope to prune a cellular resolution to get a minimal one. Algorithms by

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Minimal cellular resolutions have been found for

- generic and shellable monomial modules [[Batzies, Welker, 2002](#)],
- the powers of the edge ideals of paths [[Engström, Norén, 2012](#)],
- the Eliahou-Kervaire resolution for stable ideals [[Mermin, 2010](#)],
- the matroid ideal of a finite projective space [[Novik, 2002](#)].

2.1. Edge ideals of trees

Definition Let G be a graph on the vertex set $[n]$ and $S = k[x_1, \dots, x_n]$. The **edge ideal** of G is the squarefree monomial ideal

$$I(G) = (x_i x_j : \{i, j\} \in E(G)) \subset S.$$

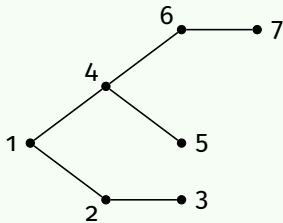
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Here we consider **trees** that are connected graphs without cycles.

Example Let T be the following tree:

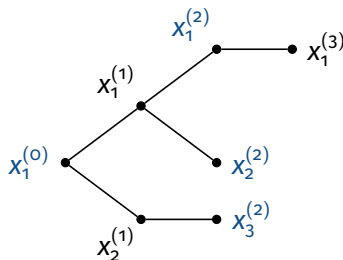


$$I(T) = \left(\begin{array}{l} x_1 x_2, x_1 x_4, x_2 x_3, \\ x_4 x_5, x_4 x_6, x_6 x_7 \end{array} \right) \subset k[x_1, \dots, x_7]$$

2.2. The algorithm

Fix a vertex $x_1^{(0)}$ of T and call $x_1^{(i)}, \dots, x_{s_i}^{(i)}$ the vertices lying at distance i from $x_1^{(0)}$. Consider the lexicographic order induced by

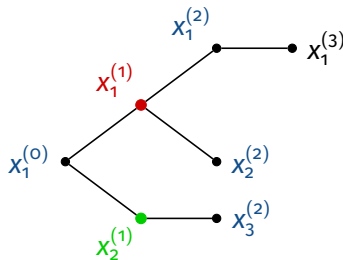
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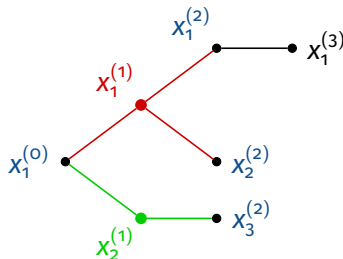
- 1 Select a descending sequence of variables $x_{p_1}^{(i_1)}, \dots, x_{p_t}^{(i_t)}$ corresponding to pairwise non-adjacent vertices of T .

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- 2 Pick all edge monomials divisible by one of the variables $x_{p_1}^{(i_1)}, \dots, x_{p_t}^{(i_t)}$.

$$x_1^{(0)} x_1^{(1)}, \quad x_1^{(0)} x_2^{(1)}, \quad x_1^{(1)} x_1^{(2)}, \quad x_1^{(1)} x_2^{(2)}, \quad x_2^{(1)} x_3^{(2)}$$

- 3 Remove all monomials μ that are divisible by $x_{p_h}^{(i_h)}$ and are not co-prime with respect to an element ν of the symbol divisible by $x_{p_k}^{(i_k)}$ for some $k > h$.

$$\cancel{x_1^{(0)} x_1^{(1)}}, \quad x_1^{(0)} x_2^{(1)}, \quad x_1^{(1)} x_1^{(2)}, \quad x_1^{(1)} x_2^{(2)}, \quad x_2^{(1)} x_3^{(2)}$$

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- 4 Consider all subsymbols of the symbols obtained so far.

$$\begin{aligned} & [x_1^{(0)} x_2^{(1)}, x_1^{(1)} x_1^{(2)}, x_1^{(1)} x_2^{(2)}], \quad [x_1^{(0)} x_2^{(1)}, x_1^{(1)} x_1^{(2)}, x_1^{(1)} x_3^{(2)}], \\ & [x_1^{(0)} x_2^{(1)}, x_1^{(1)} x_2^{(2)}, x_2^{(1)} x_3^{(2)}], \quad [x_1^{(1)} x_1^{(2)}, x_1^{(1)} x_2^{(2)}, x_2^{(1)} x_3^{(2)}], \\ & [x_1^{(0)} x_2^{(1)}, x_1^{(1)} x_1^{(2)}], \quad [x_1^{(0)} x_2^{(1)}, x_1^{(1)} x_2^{(2)}], \quad [x_1^{(0)} x_2^{(1)}, x_2^{(1)} x_3^{(2)}], \\ & [x_1^{(1)} x_1^{(2)}, x_1^{(1)} x_2^{(2)}], \quad [x_1^{(1)} x_1^{(2)}, x_2^{(1)} x_3^{(2)}], \quad [x_1^{(1)} x_2^{(2)}, x_2^{(1)} x_3^{(2)}], \\ & [x_1^{(0)} x_2^{(1)}], \quad [x_1^{(1)} x_1^{(2)}], \quad [x_1^{(1)} x_2^{(2)}], \quad [x_1^{(1)} x_3^{(2)}] \end{aligned}$$

2.3. Bridges

Definition Let xy and zw be elements of a symbol \mathbf{u} , where $xy > zw$. If $xz \in I$, we say that xz is the **bridge** between xy and zw .

In this case, we will say that xy and zw form a **gap** in \mathbf{u} if $xz \notin \mathbf{u}$, no other monomial of \mathbf{u} other than zw is divisible by w , and no monomial smaller than zw is divisible by y .



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The last step of the procedure is

- 5 Discard all symbols that contain a gap.

$$\begin{array}{l}
 \cancel{[x_1^{(0)} x_2^{(1)}, x_1^{(1)} x_1^{(2)}, x_1^{(1)} x_2^{(2)}]}, \quad [x_1^{(0)} x_2^{(1)}, x_1^{(1)} x_1^{(2)}, x_1^{(1)} x_3^{(2)}] \\
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 \end{array}$$

The symbols obtained after ①-⑤ are called **F-admissible** for T .

Counting all **F-admissible** symbols of length r and degree d we can compute the graded Betti numbers $\beta_{r,d}(S/I(T)) = \beta_{r-1,d}(I(T))$:

$$0 \rightarrow S(-6)^2 \rightarrow S(-4) \oplus S(-5)^6 \rightarrow S(-3)^6 \oplus S(-4)^4 \rightarrow S(-2)^6 \rightarrow I(T) \rightarrow 0.$$

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For every r , let F_r be the free S -module generated by the *F*-admissible symbols of length r .

We show that F_r is the r -th module of a minimal graded free resolution of $S/I(T)$.

2.4. Minimal cellular resolution

Proposition (Batzies, Welker, 2002) *There exists a CW-complex that is homotopy equivalent to the Taylor resolution and whose r -cells are in 1-to-1 correspondence with the \mathbf{F} -admissible symbols of length r .*

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[Batzies, Welker, 2002] describe explicitly the differentials $\partial_r : F_r \rightarrow F_{r-1}$ in terms of the F -admissible symbols.

Theorem *The cellular resolution (F_r, ∂_r) is a minimal graded free resolution of $S/I(T)$.*

2.5. Betti numbers

We also found a new elementary proof of Jacques' recursive formulas for the graded Betti numbers and for the projective dimension.

Any tree T contains a vertex v such that among its neighbours v_1, \dots, v_m at most one (say v_m) has degree greater than 1. Then call $T' = T \setminus \{v_1\}$ and $T'' = T \setminus \{v, v_1, \dots, v_m\}$.

Theorem (Jacques, 2004) For all indices r, d we have

$$\beta_{r,d}(S/I(T)) = \beta_{r,d}(S/I(T')) + \sum_{j=0}^{m-1} \binom{m-1}{j} \beta_{r-(j+1), d-(j+2)}(S/I(T'')).$$

Moreover,

$$\text{pd}(S/I(T)) = \max\{\text{pd}(S/I(T')), \text{pd}(S/I(T'')) + m\}.$$

Thank you for listening!